



The
Patent
Office

PCT/GB 98 / 0 0 2 2 6

09 / 35 52 68

The Patent Office
Concept House
Cardiff Road
Newport
South Wales

NP9-TRH	17 APR 1998
WIPO	PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., p.l.c. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

PRIORITY DOCUMENT

Signed

G D Court.

Dated

11 MAR 1998



This Page Blank (uspto)



27 JAN 1997

LONDON

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

1. Your reference

PDG/19542

2. Patent application number

(The Patent Office will fill in this part)

27 JAN 1997

9701616.6

3. Full name, address and postcode of the or of each applicant (underline all surnames)

British Broadcasting Corporation
Broadcasting House
London W1A 1AA

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

UNITED KINGDOM

349555001

4. Title of the invention

AUDIO COMPRESSION

5. Name of your agent (if you have one)

MATHYS & SQUIRE

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

100 Gray's Inn Road
London WC1X 8AL
UNITED KINGDOM

Patents ADP number (if you know it)

1081001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)

Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

Yes

- a) any applicant named in part 3 is not an inventor, or
 - b) there is an inventor who is not named as an applicant, or
 - c) any named applicant is a corporate body.
- See note (d))

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form -

Description 9

Claim(s) ~~2~~ 3

Abstract -

Drawing(s) 1



10. If you are also filing any of the following, state how many against each item.

Priority documents -

Translations of priority documents -

Statement of inventorship and right to grant of a patent (Patents Form 7/77) -

Request for preliminary examination and search (Patents Form 9/77) -

Request for substantive examination (Patents Form 10/77) -

Any other documents -
(please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature 
MATHYS & SQUIRE

Date 27 Jan 1997

12. Name and daytime telephone number of person to contact in the United Kingdom

Garratt, Peter Douglas

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- e) Once you have filled in the form you must remember to sign and date it.
- f) For details of the fee and ways to pay please contact the Patent Office.

AUDIO COMPRESSION

This invention relates to compressed, that is to say data-reduced or bit-rate reduced, digital audio signals.

5 The invention is applicable to a wide range of digital audio compression techniques; an important example is the so-called "MPEG Audio" coding, defined in ISO/IEC standards IS 11172-3 and IS 13818-3.

10 In digital broadcasting, certain operations can be performed only on decoded audio signals. There is accordingly a requirement for compression decoding and re-encoding in the studio environment. It is of course desirable that these cascaded decoding and re-encoding processes should involve minimal reduction in quality. Studio operations such as mixing may be conducted on a digital PCM signal, although sometimes there will be a requirement for conversion of the PCM signal to analogue form. In the discussions that follow, attention will be focused on the use of a decoded audio signal in PCM format although it should be remembered that the
15 invention also encompasses the use of decoded analogue signals in analogue form. It will further be appreciated that whilst the digital broadcasting studio environment conveniently exemplifies the present invention, the invention is applicable to other uses of compressed audio signals.

20 It is an object of the present invention, in one aspect, to provide improved digital audio signal processing which enables re-encoding of a compression decoded audio signal with minimal reduction in quality

25 Accordingly, the present invention consists in one aspect in a method of audio signal processing, comprising the steps of receiving a compression encoded audio signal; compression decoding the encoded audio signal; deriving an auxiliary data signal; communicating the auxiliary data signal with the decoded audio signal and re-encoding the decoded audio signal utilising information from the auxiliary data signal.

30 Preferably, the auxiliary data signal comprises essentially the encoded audio signal.

In one form of the invention, the auxiliary data signal is combined with the decoded audio signal for communication along the same signal path as the decoded audio signal.

5 The invention will now be described by way of example with reference to the accompanying drawings in which:-

Fig. 1 is a block diagram of a digital broadcasting studio installation utilising an embodiment of the present invention; and

Fig. 2 is a block diagram of similar form illustrating a second embodiment of the present invention.

10 Referring to Fig. 1, a coded audio bit-stream enters the decoder (D1) at the top left and the decoder produces a linear PCM audio signal, typically in the form of an ITU-R Rec. 647 ("AES/EBU") bitstream, although other forms of PCM signal may be used. The PCM signal is connected to the studio equipment (S) which may provide such facilities as fading, mixing or
15 switching. This connection is made via an insertion unit (X) which combines the auxiliary data signal with the PCM audio signal. Other audio sources are connected to the studio equipment; these are in the form of PCM signals, but some or all of them may previously have been coded, and those decoded locally may be accompanied by auxiliary data signals (e.g. the
20 PCM signal from Decoder D2). The output of the studio equipment is applied to the input of the coder (C) via a signal splitter unit (Y) which separates the auxiliary data from the PCM signal. The output of the coder is a coded (i.e. digitally compressed) audio signal. In Fig. 1, the PCM signal path is represented by the solid line connecting the decoder and coder via
25 the studio equipment. If just a PCM signal arrives at the coder (i.e. the auxiliary data signal is not present) the latter has to perform an independent re-coding process. This introduces impairments in the form of coding artifacts into the signal (in the case of a PCM signal which has previously been coded, but without the auxiliary signal, these artifacts are additional to
30 those present as the result of the earlier coding).

In the example of an MPEG audio signal, the most important

information to carry with the signal is the positions of the coded audio frame boundaries. These frames are 24ms long when the sampling frequency is 48 kHz.

5 The build up of impairments can be completely eliminated by avoiding decoding and re-coding wherever possible. For example, if enough of the original coded audio signal is conveyed to the coder, as the auxiliary data signal, the coded audio signal can be reconstituted and substituted for the decoded and re-coded signal. This would require that the studio equipment pass the PCM signal transparently, and that the coded bitstreams to be
10 switched or mixed are frame aligned, or can be brought into frame alignment. Frame aligning can give rise to problems with audio/visual synchronisation ("lip sync") in applications such as television where video is associated with the audio.

15 Alternatively, if the auxiliary data signal indicates to the coder the positions in the PCM bitstream of the frame boundaries of the original coded signal, it is possible to minimise any impairment introduced on re-coding if the original groups of audio samples which formed blocks of coded data (e.g. subband filter blocks or blocks of samples with the same scalefactor) are kept together to form equivalent blocks in the re-coded signal. This
20 does not require frame alignment of coded bitstreams within the studio area, but it does require alignment of the appropriate data blocks within the bitstreams. Such alignment can be effected by the introduction of relatively short delays, which do not significantly affect audio/video synchronisation. Further reductions in the impairment on re-coding may be made if
25 information on the quantisation of the audio in the coded bitstream is conveyed to the coder (C).

30 A further possibility is to move frame boundaries in the incoming coded bitstreams, whilst preserving the original blocks of coded data, to bring the frames closer to alignment. Relatively short delays can then be used to effect frame alignment by "fine tuning" the timing of the signals. Frame aligning the coded bitstreams in this way, at a point where the entire incoming coded audio signal is available will minimize further impairment of

the audio, and re-coding will take place with the repositioned frame boundaries.

5 If the frame boundaries are repositioned in such a way as to preserve the original block of samples with the same scale factor, only a partial decoding operation is needed. This technique is particularly suited to the editing of bit-rate reduced digital signals because full decoding and re-encoding can be eliminated.

10 In the case where the studio is receiving MPEG audio coded signals in the form of packetised elementary streams (PES), buffer stores in the decoders are used to ensure that the audio signals are correctly timed to a local clock and (if appropriate) to associated video signals, using a programme clock reference (PCR) and presentation time stamps (PTS) within signals. The relatively small adjustments to signal timing needed to align blocks within coded bitstreams entering the studio with the blocks
15 formed by the re-encoding process in the coder (C) may be made either by making some adjustment to the timing in the decoders (D1, D2 etc.) or by introducing delays into the PCM signal paths.

In the arrangement of Fig. 1, the auxiliary data takes the same path as the PCM signal through the studio equipment, and is combined with the
20 PCM audio in such a way that it has the minimal effect upon the audio. It is routed with the audio, and if the path is not transparent (e.g. because of fading or mixing) the modification of the auxiliary signal is detected in the coder, and re-coding of the audio proceeds independently of the auxiliary signal. If the path is transparent, the unmodified auxiliary signal facilitates
25 the substitution of the re-coded PCM signal by the original coded signal, or re-coding with the data blocks of the re-coded signal reproducing the blocks of the original signal as closely as possible, as described above. The dotted line of Fig. 1. represents the path taken by the auxiliary data.

30 Any modification of the signal and associated auxiliary data is detected by appropriate examination of the auxiliary data. For example, the auxiliary data may be accompanied by error-detecting cyclic redundancy check bits associated with the auxiliary data for each coded audio frame.

Audio signals which have not previously been coded will not be accompanied by any auxiliary data and will be impaired by the coding artifacts introduced by first-time coding when coded by the coder (C). Signals which have previously been coded but for which no auxiliary data is available will be impaired by additional coding artifacts when re-coded by the coder (C).

Referring to Fig. 2, the PCM audio signal takes the same path through the studio equipment from the decoder (D1) to the coder (C) via the studio equipment (S). However, in this arrangement, the auxiliary data signal is not combined with the PCM audio but is routed separately. This arrangement has the advantage that the auxiliary data is not combined with the PCM audio, and there is no risk of audible changes to the signal as a result. This might be important, for example, if the studio equipment has only a limited resolution in terms of the audio sample word-length.

Furthermore, the auxiliary data is not modified by fading or mixing. There are disadvantages in that the auxiliary signal needs to be delayed to keep it time-aligned with the PCM audio passing through the studio equipment (S), and switching is necessary in the auxiliary data path so that the correct auxiliary data is always presented to the coder (C) with the associated PCM signal. As in the arrangement of Fig. 1, the coder needs to perform re-coding independently of the auxiliary signal at times when the path through the studio equipment (S) is not transparent. One way of ensuring that this happens is for the switch (R) which routes the auxiliary signals the coder to suppress all such signals when independent re-coding is necessary.

Another way would be to add a subsidiary auxiliary data signal to the audio passing through the studio equipment (S) which would enable detection of non-transparent processing. This might be, for example, a known pseudorandom binary sequence (prbs) or some form of cyclic redundancy check data on some or all of the audio data.

In Fig. 2, the delay (T) required in the auxiliary data path should be constant, and may be determined by means of suitable tests. However, incoming MPEG audio coded bitstreams in PES form contain PTS, as

mentioned previously, and PCM audio signals can carry time information (e.g. the time codes in the ITU-R Rec. 647 signal) which may comprise, or be derived from, the incoming PTS. If the auxiliary signal contains the same information, or the PTS itself, the initial setting of the delay (T) and the subsequent verification of the amount of delay may be performed automatically.

Examples of signals that could comprise the auxiliary data are:

1. The coded audio signal at the input to the decoder (D1, D2, etc.). This contains not only audio-related data and the PTS but also certain auxiliary information such as programme-associated data (PAD), which may need to be copied into the coded signal at the output from the studio area, and error protection. Depending upon the circumstances, such a signal would enable the coder (C) to substitute the original coded signal for the re-coded PCM signal, or to re-code the PCM signal with blocks of audio data resembling closely the blocks within the original coded signal, as described above. Conveying the coded audio signal to the coder provides the widest range of options for re-coding with minimal additional impairment of the audio.
2. The coded audio samples at the input to the decoder minus the quantised audio samples (which can be re-created identically from the PCM audio signal). This is a signal in which the positions of the frame boundaries of the original coded signal are indicated relative to the linear audio samples in the PCM signal, and from which the positions of the blocks of data within the frames may be deduced, together with information on the allocation of bits to the various components of the coded signal (sometimes known as "bit-allocation data"), scale factors, block lengths (in coding schemes where, this is relevant), the PTS, and any other data relevant to the coding system in use.

3. A signal similar to that described in "2" above, but containing a subset of the information described (e.g. just the positions of the frame boundaries).

5 Ways in which the auxiliary data signal might be transported with the PCM audio are:

- 10 1. In the auxiliary sample bits of the ITU-R Rec. 647 bitstream. At the studio standard sampling frequency of 48 kHz, a total bit rate of 384 kbit/s is available in the auxiliary sample bits of both "X" and "Y" subframes. This method is ideal for conveying the auxiliary data between different items of equipment but there is some uncertainty concerning the way in which studio equipment might treat these auxiliary sample bits. For example, the studio equipment may not route these bits through to the output with the PCM audio, or it may not delay these bits by the same amount as the PCM audio. In either case, some modification of the studio equipment, or of the environment around it, may be necessary.
- 15 2. In the least significant bits (l.s.b.) of the PCM audio sample words of the ITU-R Rec. 647 bitstream. Depending upon the resolution of the studio equipment these may be the same as the auxiliary sample bits (these are the l.s.b. if the Rec. 647 signal is configured to carry 24-bit audio sample words) or the least significant bits within the part of the subframe reserved for 20-bit audio sample words (these are the same bits that carry the 20 most significant bits of 24-bit sample words). Carrying the auxiliary data in the l.s.b. of the audio sample words overcomes the problems of routing within the studio equipment and care will be taken to ensure that the auxiliary data signal is inaudible. The studio equipment needs to be transparent to audio sample words of at least 20 bits. If necessary, the audibility of the auxiliary data signal could be reduced by scrambling (e.g. by the
- 20
- 25

modulo-2 addition of a pseudorandom binary sequence, or the use of a self-synchronising scrambler). Alternatively, it could be removed altogether by truncating the audio sample words to the appropriate length (i.e. to exclude the auxiliary data).

- 5 3. In the user data bits of the ITU-R Rec. 647 bitstream. Taking the user data bits from both "X" and "Y" subframes provides a channel with a bit rate of only 96 kbit/s. In many applications this is unlikely to be sufficient to carry the complete coded audio signal. It would be sufficient to signal the positions of frame boundaries, and to carry
- 10 some other information extracted from the coded audio. With this method there is uncertainty concerning the way in which studio equipment might treat the user data.
- 15 4. In the upper part of the audio spectrum, at frequencies higher than those of the audible components of the signal. For this purpose, the PCM audio signal would be low-pass filtered, and the coded auxiliary data signal added above the passband occupied by the audible signal. A particularly ingenious way of doing this, when the studio area is receiving MPEG audio coded signals, would be to use an
- 20 MPEG analysis subband filterbank with the reciprocal synthesis filterbank at the insertion units (X) in Fig. 1. At 48 kHz sampling frequency, the audio passband extends almost up to 24 kHz. In MPEG audio coding this passband is divided into 32 equally-spaced subbands, each with a bandwidth of 750 Hz. The upper five subbands are not used, and the audio is thus effectively low-pass
- 25 filtered to 20.25 KHz. The auxiliary data could be inserted into the upper subbands, and would be carried in the upper part of the spectrum of the PCM audio signal, to be extracted by another MPEG analysis filterbank at the splitter (Y) shown in Fig. 1. The PCM signal applied to the coder (C) would not need further filtering to remove the
- 30 auxiliary data, as this would happen in the analysis filterbank in the

coder itself.

- 5 5. The auxiliary signal might be a low-level known pseudo random binary sequence (prbs) added to the audio. The prbs would be synchronised in some way with the audio frame boundaries and may be modulated with additional data where possible. It is also possible to subtract the prbs from the data prior to final transmission or monitoring.

10 It has been explained that under certain circumstances it is appropriate to perform partial decoding and re-encoding. In the appended claims, the terms decoding and re-encoding should be taken as including partial decoding and re-encoding, respectively.

CLAIMS

1. A method of audio signal processing, comprising the steps of receiving a compression encoded audio signal; compression decoding the encoded audio signal; deriving an auxiliary data signal; communicating the auxiliary data signal with the decoded audio signal and re-encoding the decoded audio signal utilising information from the auxiliary data signal.
5
2. A method according to Claim 1, wherein the auxiliary data signal comprises essentially the encoded audio signal.
3. A method according to Claim 1, wherein the auxiliary data signal comprises audio-related data from the encoded audio signal.
10
4. A method according to Claim 3, wherein the auxiliary data signal comprises time information from the encoded audio signal.
5. A method according to Claim 4, wherein the auxiliary data signal further comprises ancillary information, such as programme-associated data, from the encoded audio signal.
15
6. A method of audio signal processing, comprising the steps of receiving a compression encoded audio signal; compression decoding the encoded audio signal; deriving an auxiliary data signal indicative of the analysis and quantisation employed for the encoded audio signal; communicating the auxiliary data signal with the decoded audio signal and re-encoding the decoded audio signal utilising information from the auxiliary data signal such that the re-encoded audio signal employs the same analysis and quantisation as the encoded audio signal.
20
7. A method according to Claim 6, wherein the analysis comprises application of sub-band filter bank.
25

8. A method according to Claim 7, wherein the auxiliary data signal is indicative of the analysis into sub-bands and the quantisation within each sub-band employed for the encoded audio signal.

5 9. A method according to any one of the preceding claims, wherein the encoded audio signal is an MPEG audio coded signal.

10 10. A method according to Claim 9, wherein the auxiliary data signal contains information relating to one or more of: the position of audio frame boundaries in the audio signal; scale factors for the blocks of sub-band samples within each audio frame; bit allocation data for the audio frame.

11. A method according to any one of the preceding claims, wherein the auxiliary data signal is combined with the decoded audio signal for communication along the same signal path as the decoded audio signal.

15 12. A method according to Claim 11, wherein the auxiliary data signal is formatted to enable an integrity check prior to use of the auxiliary data signal in a re-encoding process, to ensure transparent communication of the auxiliary data signal along the decoded audio signal path.

13. A method according to Claim 11, wherein the auxiliary data signal is carried in the least significant bits of a digital decoded audio signal.

20 14. A method according to Claim 11, wherein the auxiliary data signal is carried as user data bits in a recognized digital interface format such as ITU-R Rec. 647.

15. A method according to Claim 11, wherein the auxiliary data signal is carried in the upper part of the audio spectrum.

16. A method according to Claim 15, wherein the auxiliary data signal is carried in higher frequencies associated with sub-bands unused in the compression encoding.
- 5 17. A method according to Claim 16, in which MPEG audio coding is employed, wherein a filter arrangement analogous to the MPEG analysis sub-band filter arrangement and its reciprocal, is employed for insertion of the auxiliary data signal into the decoded audio signal.
- 10 18. A method according to any one of Claims 1 to 10, wherein the auxiliary data signal is carried in a separate path to the decoded audio signal.
19. A method according to Claim 18, wherein the auxiliary data signal path is disabled in the event of processing in the decoded audio signal preventing sensible use of information from the auxiliary data signal in re-encoding.
- 15 20. A method according to Claim 19, wherein a tell-tale is added to the decoded audio signal to indicate such processing.

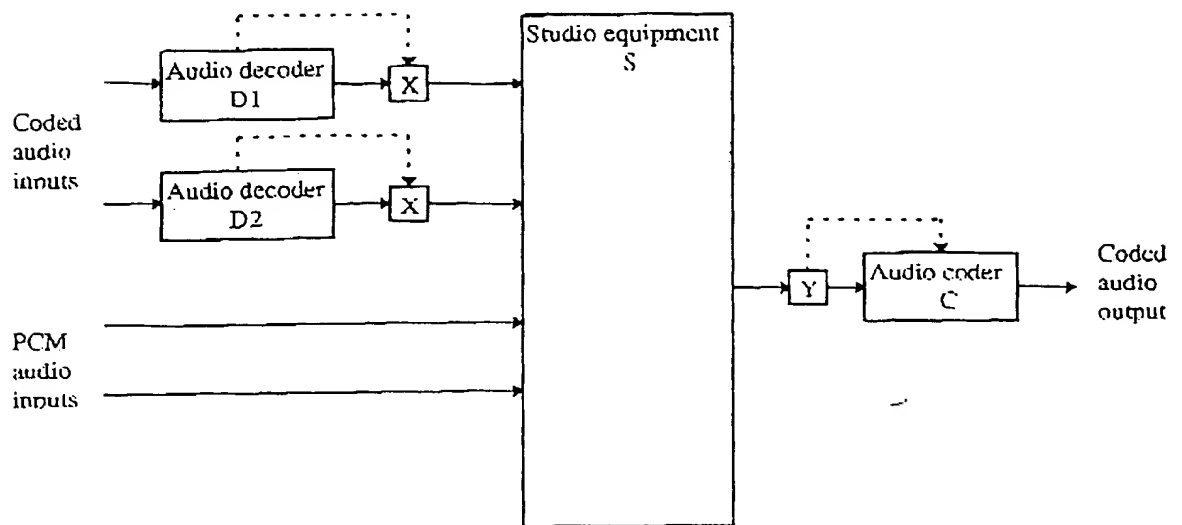


Fig. 1.

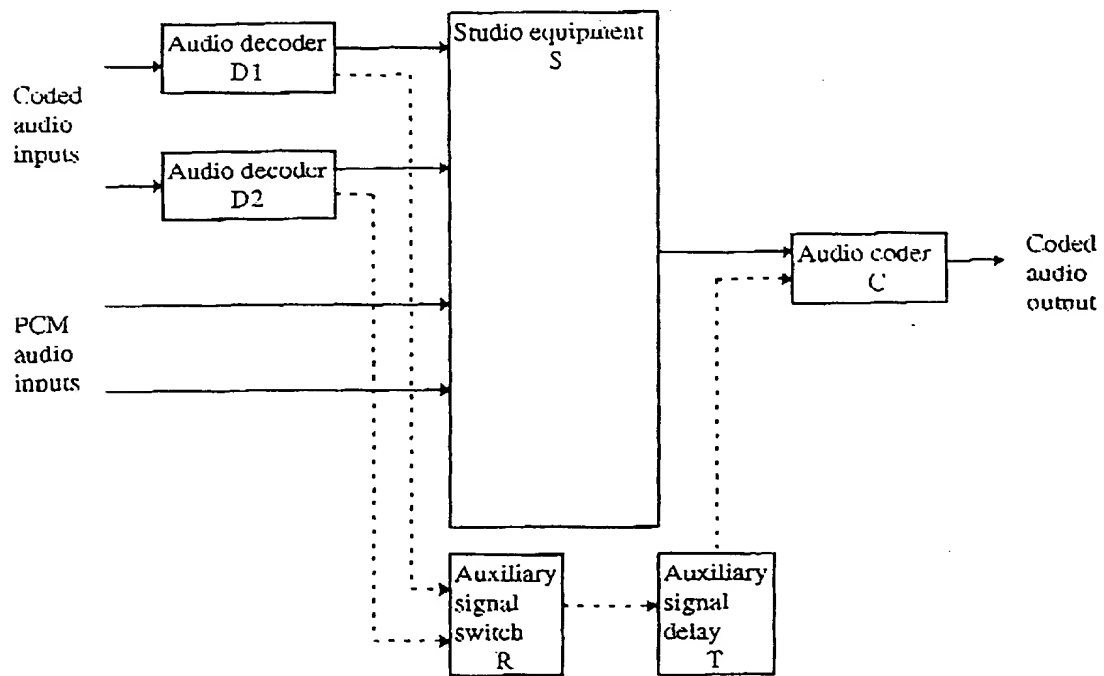


Fig. 2.

PC7/LB 98/00226

27.2.98

Mathys + Squire

This Page Blank (uspto)